Your partner in progress

GOLD DEALER ASSURANCE PROGRAMME



Gold Dealer Confirmation Statement

Company information

Company Name: .....

Audit Period: .....

Auditor (Organisation, Name): .....

To whom it may concern,

In relation to the external audit conducted during the audit period we hereby confirm and declare the following:

1. **Information Accuracy**
   All information, documents, and records provided to the auditor(s) are true, accurate, complete, and free from material misstatements.

2. **Legal and Regulatory Compliance**
   We hereby confirm our compliance with all relevant laws and regulations, including those relating to Anti-Money Laundering (AML), Know-Your-Customer (KYC), and sanctions monitoring.

3. **Adherence to industry principles and best practices**
   We are committed to service excellence and best practices. Our approach is grounded in a set of core principles – reflected in the Gold Dealer Assurance Standard – that guide how we operate and serve our customers. These principles include:

   - Fairness and integrity
   - Transparency
   - Protection of customer assets
   - Responsible gold sourcing
   - Regulatory compliance
   - Commercial prudence
   - Operational professionalism

   These principles reflect best practices for gold dealers, as set out in the Gold Dealer Assurance Standard and the original Retail Gold Investment Principles – both of which were developed by the World Gold Council in consultation with the gold industry.

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4. **Conviction for Fraud**
   None of the company's directors, officers, or members of management have been convicted of any financial or precious metals-related fraud offences.

5. **Scope of Audit**
   We confirm that our company is engaged in the business of offering gold bullion coins, rounds, and bars for sale and/or as vaulted gold investments, and we acknowledge that this audit does not cover numismatics or collectible gold products. We further acknowledge that the scope includes graded coins that are not considered numismatics or collectibles.

6. **Fair Pricing**
   We are committed to ensuring that all premiums and fees for the products covered under the Standard are fair, transparent, and clearly communicated to our customers in every transaction. The commitment also applies to graded coins that are not considered numismatics or collectibles. For products sold at premiums outside the typical market range, we disclose any associated risks, such as the potential loss of value upon resale.

7. **Minimum Business Operation Requirement**
   The company has been in existence and registered for at least three years and has operated continuously in the gold market for at least two years, ensuring experience, stability, and reliability in the industry.

8. **Future Compliance**
   We are committed to enhancing our processes as industry standards and regulatory requirements evolve.

9. **Signature**

Representative: .....

Title: .....

Signature: .....

Date: .....

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