Your partner in progress

GOLD DEALER ASSURANCE PROGRAMME



Application Declaration Form

The Gold Dealer Assurance Programme (GDAP)™

The Gold Dealer Assurance Programme (GDAP) is a voluntary, standards-based audit programme aligned with the World Gold Council's Gold Dealer Assurance Standard (Standard). The Standard, for the first time, establishes globally recognised best practices to promote trust, transparency, and integrity in the retail gold market.

This application is the first step in the GDAP process and is intended to collect key information about your organisation and its readiness to participate in an independent audit. The information provided will support application review and audit planning.

Completion of this application does not guarantee acceptance into the GDAP or certification. Participation in the programme requires successful completion of an independent audit conducted by an approved GDAP Audit Service Provider, based on objective evidence and conformity with programme requirements.

Applicants are expected to provide accurate and complete information and may be required to submit supporting documentation or clarification as part of the application review and audit process.

GDAP is designed for use across multiple jurisdictions. Applicants should respond to questions in accordance with their applicable legal and regulatory framework.

Each GDAP application must relate to a legal entity or legal-entity group operating within one country/jurisdiction. Where a corporate group operates Gold Dealer activities through legal entities in more than one country/jurisdiction, a separate application is required for each country/jurisdiction. All branches, locations or subsidiaries proposed for inclusion within that country/jurisdiction must be identified in the application. BSI will determine through the separate post-application multi-site review whether those locations can be included within one multi-site assurance scope or require separate treatment.

The GDAP assurance process is managed and operated independently by BSI. WGC sponsors and maintains the Standard but does not see or review applicant information, audit evidence, audit reports, audit findings or certification recommendations, and does not participate in any way in certification decisions or the issuance of the trust mark.

By proceeding with this application, you confirm your interest in participating in the GDAP and your willingness to engage in the audit and assurance process in accordance with programme requirements.

Post-Application Multi-Site Review

Where an approved application includes multiple branches, locations or subsidiaries, BSI will issue a separate Multi-Site Scoping Questionnaire to determine whether those locations can be included within one multi-site assurance scope. The follow-up review collects the complete site schedule, evidence of central governance and control, materially different-location information and relevant

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location-level disclosures. BSI uses that information to complete the Multi-Site Eligibility Assessment and determine the proposed audit scope.

Following submission, BSI will assess whether the information and evidence are sufficient to complete the review. Where clarification is needed, BSI may request further evidence or arrange a focused clarification call. A clarification call is not mandatory in every case.

Completion of this application does not approve multi-site sampling. BSI Programme Management approves the eligible population, risk band, sample and named locations following the separate review.

Declarations and Authorisation

By submitting this application to the programme manager of the Gold Dealer Assurance Programme (GDAP), the undersigned, acting on behalf of the applicant organisation, hereby confirms, acknowledges, and agrees to the following:

The applicant certifies that all information provided within this application, including any supporting documentation, is true, accurate, and complete to the best of their knowledge and reflects the current state of the organisation's operations. The applicant further understands that the submission of false, misleading, or incomplete information may result in the rejection of the application, suspension from the application process, or withdrawal from participation in the GDAP.

The undersigned represents and warrants that they are duly authorised to submit this application on behalf of the organisation and to act as its representative in all matters relating to the GDAP application and audit process. The undersigned further confirms that they have the authority to bind the organisation to the commitments and obligations outlined herein.

The applicant acknowledges its intention to participate in the GDAP and confirms its understanding of the audit process, which may include, but is not limited to, documentation review, interviews with personnel, and remote assessments or in unusual instances, site visits, as applicable. The applicant agrees to cooperate fully with all aspects of the audit process and to provide additional information, documentation, and access as may be reasonably requested by authorised GDAP Audit Service Providers. The applicant further agrees to address any identified non-conformities and to implement recommended corrective actions within agreed timeframes, where required, and to maintain compliance with GDAP requirements throughout the certification period.

The applicant consents to the review and verification of all information submitted as part of this application and acknowledges that such information may be shared with authorised GDAP Audit Service Providers for programme the purposes of application assessment, audit execution, and programme management.

WGC will not receive applicant information, audit evidence, audit reports or certification recommendations except where required by law or expressly authorised by the applicant. The applicant understands and agrees that participation in GDAP does not guarantee certification and that certification decisions are made based on objective evidence obtained during the audit process.

The applicant acknowledges that information provided through this application may be collected, stored, and processed for purposes related to GDAP administration, audit delivery, and programme oversight, and that such information will be handled in accordance with applicable data protection

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and privacy requirements. Information will be shared only as necessary to support the GDAP process.

The applicant further confirms its commitment to operating in accordance with all applicable laws and regulations in the jurisdictions in which it operates and agrees to adhere to the Gold Dealer Assurance Standard. The applicant commits to upholding principles of fairness, transparency, and integrity in its business practices and to maintaining appropriate policies, procedures, and controls to support the protection of client assets, responsible gold sourcing, regulatory compliance, commercial prudence, operational professionalism, and ethical operations all for the protection of customers and their assets.

GDAP Nature, Disclaimers and Acknowledgements

The applicant acknowledges and agrees that the GDAP, including any audit, conformity assessment, certification or issuance of a trust mark, is a point-in-time assessment of the applicant's conformity with the Gold Dealer Assurance Standard based on the information and evidence available at the time of the assessment. It does not constitute a guarantee, warranty or representation as to the applicant's future conduct, performance, financial condition or ongoing conformity with the Standard or any applicable law or regulation.

The applicant further acknowledges and agrees that participation in, or certification or confirmation of conformity under, the GDAP:

a) does not constitute a guarantee or assurance of the future conduct, behaviour, solvency or financial strength of the applicant or any of its directors, officers, employees or agents;

b) does not constitute a certification, guarantee or representation as to the financial strength, creditworthiness or viability of the applicant;

c) does not constitute a guarantee, warranty or representation as to the authenticity, purity, weight, value, provenance or quality of any gold product or service offered, sold or delivered by the applicant;

d) does not constitute investment, financial, legal or regulatory advice and does not impose any responsibility on WGC, BSI (as programme manager) or any approved GDAP Audit Service Provider for any investment or purchasing decision made by any consumer or other person in reliance on the applicant's participation in, or certification under, the GDAP; and

e) does not relieve, reduce, transfer or otherwise affect any of the applicant's own legal, regulatory or contractual responsibilities, all of which remain solely with the applicant.

The applicant acknowledges that none of WGC, BSI (as programme manager) or any approved GDAP Audit Service Provider shall have any liability to the applicant, its customers or any third party arising out of or in connection with any reliance placed on the applicant's participation in, or certification or confirmation of conformity under, the GDAP, save to the extent that such liability cannot be excluded by applicable law.

The applicant remains solely responsible for its own products, services, conduct, customers and compliance, notwithstanding any application, audit, conformity letter, certification or trust mark under the GDAP.

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The applicant acknowledges and agrees that participation in, or certification or confirmation of conformity under, the GDAP does not relieve, reduce, transfer or otherwise affect any of the applicant's own legal, regulatory or contractual responsibilities, all of which remain with the applicant; does not constitute legal, regulatory, financial or investment advice; and is not a substitute for the applicant's own compliance with all applicable laws and regulations. The applicant remains solely responsible for its own products, services, conduct, customers and compliance, notwithstanding any application, audit, conformity letter, certification or trust mark under the GDAP.

The applicant must notify BSI/GDAP, without undue delay and in any event within ten (10) business days of any material change to ownership, operating model, regulatory status, enforcement action, product scope, customer asset arrangements or key service providers during the application, audit and Trust Mark period.

The applicant acknowledges and agrees that each assessment, any confirmation of conformity or certification, and any award or use of the Trust Mark, reflects only an assessment of the applicant's conformity with the Standard as at the date of the relevant assessment, based on the evidence made available to the Audit Service Provider at that time, and accordingly:

(a) is a point-in-time assessment and is not a continuing, forward-looking or renewed statement of conformity;

(b) is not a guarantee or warranty of the applicant's future conduct;

(c) does not certify, verify or guarantee the financial strength, solvency or creditworthiness of the applicant;

(d) does not guarantee the authenticity, purity, quality, safety, value or provenance of any specific gold product sold or handled by the applicant; and

(e) is not, and must not be represented by the applicant as, legal, regulatory, financial or investment advice or a guarantee of any kind.

The applicant further acknowledges and agrees that participation in GDAP does not relieve, reduce or transfer any of the applicant's own legal, regulatory or contractual responsibilities, all of which remain with the applicant in full; that none of the World Gold Council, BSI (including in its capacity as the Programme Management Team) or any Audit Service Provider is responsible or liable for any investment, purchase or other decision made by any consumer or other person in reliance on GDAP, the applicant's participation, or the Trust Mark; and that GDAP confers no rights on any consumer or other third party.

The applicant agrees not to make any statement about GDAP, its participation, or the Trust Mark that is inconsistent with these acknowledgements.

Each provision of this acknowledgement is given for the benefit of, and is enforceable by, the World Gold Council, BSI and each Audit Service Provider.

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